

STATEMENT OF ECONOMIC INTERESTS

A Public Document

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NAME JAMES FANG DAYTIME TELEPHONE NUMBER (415) 397-0220
MAILING ADDRESS STREET CITY ZIP CODE
800 MADISON STREET, OAKLAND, Ca.

1. Office, Agency or Court

Bay Area Rapid Transit District

Division, Board, District, if applicable:

Position:

DIRECTOR

➤ Is the position covered by a conflict of interest code?

☒ Yes ☐ No

➤ If filing an expanded statement list agency/position:
(attach a separate sheet if necessary)

4. Schedule Summary

(Check one box for each schedule)

During the reporting period, did you have any reportable interests to disclose on:

Schedule A-1 ☐ No ☒ Yes-attach schedule
Investments (Less than 10% Ownership)

Schedule A-2 ☒ No ☐ Yes-attach schedule
Investments (Greater than 10% Ownership)

Schedule B ☐ No ☒ Yes-attach schedule
Real Property

Schedule C ☐ No ☒ Yes-attach schedule
Income & Business Positions (Income Other than Loans, Gifts and Travel)

Schedule D ☐ No ☒ Yes-attach schedule
Income - Loans

Schedule E ☒ No ☐ Yes-attach schedule
Income - Gifts

Schedule F ☒ No ☐ Yes-attach schedule
Income - Travel Payments

➤ The number of pages attached:

☐ None (no reportable interests) Number of pages 5

2. Office Jurisdiction (Check one)

☒ State ☐ County of _____

☐ City of _____

☐ Multi-County _____

3. Type of Statement (Check at least one box)

☐ Assuming Office/Initial Date: ____/____/____

(Check one)

☐ Elected Official

☐ Appointed Official (includes individuals newly hired)

☒ Annual
(Check one)

☒ The period covered is January 1, 1996 through December 31, 1996.

☐ The period covered is ____/____/____ through December 31, 1996.

☐ Leaving Office Date Left: ____/____/____
(Check one)

☐ The period covered is January 1, 1996 through the date of leaving office.

☐ The period covered is ____/____/____ through the date of leaving office.

☐ Candidate

5. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 4/1 19 97
(month, day) (year)

SIGNATURE [Signature]

INSTRUCTIONS – SCHEDULES A-1 and A-2 INVESTMENTS

"Investment" means any financial interest in any business entity located in or doing business in your agency's jurisdiction (see Appendix) in which you, your spouse, or your dependent children had a direct, indirect, or beneficial interest aggregating \$1,000 or more at any time during the reporting period.

Reportable investments include:

- Stocks, bonds, warrants, and options, including those held in margin or brokerage accounts.
- Business trusts.
- Sole proprietorships.
- Partnerships (e.g., law firm, family farm, etc.).
- Retirement accounts which hold investments in reportable business entities or real property (see Appendix).
- Investments held by a business entity or trust (including a living trust) in which you, your spouse, or dependent children had a 10% or greater ownership interest.

You are not required to disclose the following:

- Bank accounts, savings accounts, and money market accounts.
- Insurance policies.
- Shares in a credit union.
- Government bonds.
- Diversified mutual funds registered with the Securities and Exchange Commission (SEC).
- Retirement accounts invested in non-reportable interests such as insurance policies, diversified mutual funds or government bonds (see Appendix).
- Interests held in a blind trust (see Appendix).

REMINDERS

- ✓ Do you know your agency's jurisdiction?
- ✓ Did you hold investments at any time during the period covered by this statement?
- ✓ Code filers - Your code may require disclosure of specific investments only.

Use Schedule A-1 to report investments if your ownership interest in the entity was less than 10% (e.g., utility stock). You may also be required to complete Schedule C.

Use Schedule A-2 to report investments in which your ownership interest in the entity was 10% or greater (e.g., sole proprietorship). (See instructions on the back of Schedule A-2.)

TO COMPLETE SCHEDULE A-1:

- Disclose the name of the business entity.
- Provide a general description of the business activity of the entity (e.g., pharmaceuticals, computers, automobile manufacturing, communications, etc.).
- Check the box indicating the fair market value of your investment (i.e., how much your investment was worth on the open market at its highest value during the period).
- Identify the nature of your investment (e.g., stocks, warrants, options, bonds).
- If you acquired or disposed of your investment interest during the reporting period, enter the date acquired or disposed.

Examples:

John Smith is a manager for a state agency. His conflict of interest code requires full disclosure of investments. John must disclose his stock holdings of \$1,000 or more in any company that does business in California as well as those held by his spouse and dependent children.

Susan Jones is a city council member. She has a 4% interest in a limited partnership located in the city which is worth \$5,000. Susan must disclose the partnership on Schedule A-1 and income of \$250 or more received from the partnership on Schedule C.

Alice Howard supervises the purchasing department of a governmental agency. She owns stock in a utility company which only does business in New York. Alice is a code filer and her disclosure category requires reporting of investments of the type that supply services or supplies to the agency. She is **not** required to report the utility company stock because the company does not do business in her jurisdiction.

Investments

Stocks, Bonds and Other Interests

(Ownership Interest is Less Than 10%)

CALIFORNIA
1996/97 FORM **700**

FAIR POLITICAL PRACTICES COMM.

Name

> NAME OF BUSINESS ENTITY

OLDF DISCOUNT

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

STOCK

FAIR MARKET VALUE

- ☒ \$1,000 - \$10,000
☐ \$10,001 - \$100,000
☐ Over \$100,000

NATURE OF INVESTMENT

☒ Stock☐ Other _____

IF APPLICABLE, LIST DATE:

____/____/96
ACQUIRED____/____/96
DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$1,000 - \$10,000
☐ \$10,001 - \$100,000
☐ Over \$100,000

NATURE OF INVESTMENT

☐ Stock☐ Other _____

IF APPLICABLE, LIST DATE:

____/____/96
ACQUIRED____/____/96
DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$1,000 - \$10,000
☐ \$10,001 - \$100,000
☐ Over \$100,000

NATURE OF INVESTMENT

☐ Stock☐ Other _____

IF APPLICABLE, LIST DATE:

____/____/96
ACQUIRED____/____/96
DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$1,000 - \$10,000
☐ \$10,001 - \$100,000
☐ Over \$100,000

NATURE OF INVESTMENT

☐ Stock☐ Other _____

IF APPLICABLE, LIST DATE:

____/____/96
ACQUIRED____/____/96
DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$1,000 - \$10,000
☐ \$10,001 - \$100,000
☐ Over \$100,000

NATURE OF INVESTMENT

☐ Stock☐ Other _____

IF APPLICABLE, LIST DATE:

____/____/96
ACQUIRED____/____/96
DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$1,000 - \$10,000
☐ \$10,001 - \$100,000
☐ Over \$100,000

NATURE OF INVESTMENT

☐ Stock☐ Other _____

IF APPLICABLE, LIST DATE:

____/____/96
ACQUIRED____/____/96
DISPOSED

Comments: _____

INSTRUCTIONS – SCHEDULE A-2 INVESTMENTS

Use Schedule A-2 to report investments in a business entity, trust (including a living trust), or retirement account in which you, your spouse, or your dependent children had a 10% or greater interest and which is located in or doing business in your agency's jurisdiction (see Appendix). Also report on Schedule A-2 investments and real property held by that entity, trust or retirement account if your pro rata share of the interest was \$1,000 or more.

For example, if you had a 20% partnership interest in a business entity and the entity owns real property, you would report that real property if your pro rata share of the value of the property was \$1,000 or more.

If you have more than one reportable business entity, trust, or retirement account, you must complete a separate Schedule A-2 for each entity and its holdings.

TO COMPLETE SCHEDULE A-2:

Part 1. Disclose the name and address of the business entity, trust, or retirement account. If you are reporting an interest in a business entity, complete the box as follows:

- Provide a general description of the business activity of the entity.
- Check the box indicating the fair market value of your investment.
- If you acquired or disposed of this interest during the reporting period, enter the date acquired or disposed.
- Identify the nature of your investment.
- **Code Filers:** If you are required to do so under your agency's conflict of interest code, disclose the job title or business position you held with the entity, if any (i.e., if you were a director, officer, partner, trustee, employee, or held any position of management).

Part 2. Check the box indicating your gross income from a business entity or trust identified in part 1. Include the following:

- Gross Income received from the business entity or trust in the form of salary, wages, reimbursement of expenses, or other compensation (including your community property interest in your spouse's income); and
- If you or your spouse had a 10% or greater ownership interest in the business entity or trust, your pro rata share of the gross income received by the business entity or trust (including your community property interest in your spouse's income).

Part 3. Disclose the name of each source of income located in or doing business in your agency's jurisdiction, as follows:

- Disclose each source of income and loans to the **business entity or trust** identified in part 1 if your pro rata share of the gross income (including your community property interest in your spouse's income) to the business entity or trust from that source was \$10,000 or more during the reporting period.
- Disclose each individual or entity that was a source of commission income of \$10,000 or more during the reporting period through a business entity identified in part 1 (see Appendix).

You may be required to disqualify yourself from decisions affecting sources of \$250 or more in income, even though you are not required to report it.

Part 4. Report any investments or interests in real property held by the entity, trust, or retirement account identified in part 1 if your pro rata share of the interest held is \$1,000 or more.

- Check the applicable circle indicating if the interest held is real property or an investment. (Use only one box per interest.)
- If real property, report the address or other precise location.
- If an investment, provide the name and description of the business entity.
- Check the box indicating the fair market value of your interest in the real property or investment.
- Identify the nature of your interest.
- If you acquired or disposed of your interest in the property or investment during the reporting period, enter the date acquired or disposed.

Interests in Real Property

CALIFORNIA
1996/97 FORM 700
 FAIR POLITICAL PRACTICES COMM.

Name _____

STREET ADDRESS OR PRECISE LOCATION

647-649 5th Avenue

CITY

SAN FRANCISCO, Ca.

FAIR MARKET VALUE

- ☐
- \$1,000 - \$10,000
-
- ☐
- \$10,001 - \$100,000
-
- ☒
- Over \$100,000

IF APPLICABLE, LIST DATE:

 _____/_____/96 _____/_____/96
 ACQUIRED DISPOSED

NATURE OF INTEREST

- ☐
- Rental Property
-
- ☒
- Ownership
-
- ☐
- Leasehold
-
- ☐
- Easement
-
- ☐
- Deed of Trust Held by You
-
- ☐
- Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- ☐
- \$250 - \$1,000
- ☐
- \$1,001 - \$10,000
- ☒
- Over \$10,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list each reportable source of rental income of \$10,000 or more

647-5th Avenue, S.F.I live in 649, and a tenant lives in 647

NAME OF LENDER

MORTGAGE
OWCEN FEDERAL BANK

ADDRESS

1665 Palm Beach Lakes Blvd

BUSINESS ACTIVITY OF LENDER

- ☒
- Financial Institution

☐ Other _____

INTEREST RATE

8 % ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐
- \$250 - \$1,000
- ☐
- \$1,001 - \$10,000
- ☒
- Over \$10,000

☐ Guarantor, if applicable

Check below if another loan is disclosed on Schedule D.

☐ Additional loan - refer to Sch. D.

STREET ADDRESS OR PRECISE LOCATION

CITY

FAIR MARKET VALUE

- ☐
- \$1,000 - \$10,000
-
- ☐
- \$10,001 - \$100,000
-
- ☐
- Over \$100,000

IF APPLICABLE, LIST DATE:

 _____/_____/96 _____/_____/96
 ACQUIRED DISPOSED

NATURE OF INTEREST

- ☐
- Rental Property
-
- ☐
- Ownership
-
- ☐
- Leasehold
-
- ☐
- Easement
-
- ☐
- Deed of Trust Held by You
-
- ☐
- Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- ☐
- \$250 - \$1,000
- ☐
- \$1,001 - \$10,000
- ☐
- Over \$10,000

SOURCES OF RENTAL INCOME:

List each reportable source of rental income of \$10,000 or more

NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY OF LENDER

- ☐
- Financial Institution

☐ Other _____

INTEREST RATE

_____% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐
- \$250 - \$1,000
- ☐
- \$1,001 - \$10,000
- ☐
- Over \$10,000

☐ Guarantor, if applicable

Check below if another loan is disclosed on Schedule D.

☐ Additional loan - refer to Sch. D.

Comments: _____

**...STRUCTIONS – SCHEDULE C
INCOME & BUSINESS POSITIONS
(Income Other than Loans, Gifts and Travel Payments)**

All filers: Reportable income includes your gross income and your community property interest in your spouse's gross income aggregating \$250 or more received from any source located in or doing business in your agency's jurisdiction (see Appendix). Gross income is the total amount of income before deducting expenses, losses or taxes.

Code filers only: If required under your agency's conflict of interest code, use Schedule C to report the job title or business position you held with a business entity covered by your disclosure category (i.e., if you were a director, officer, partner, trustee, employee, or held any position of management) during the period covered by the report. **This requirement applies even if you received no income from the business entity during the period.**

Commonly reportable income includes:

- Salary/wages, per diem, reimbursement for expenses.
- Community property interest (50%) in your spouse's income.
- Income received from investment interests (e.g., partnerships) reported on Schedule A-1.
- Commission income not required to be reported on Schedule A-2.
- Gross income from any sale, including the sale of a house or car. The total sale price is reportable regardless of any obligation you might have to pay on loans secured by the item.
- Rental income not required to be reported on Schedule B.
- Prizes or awards not disclosed as gifts.
- Payments received on loans you made to others.
- An honorarium received by any newly appointed official or newly designated employee in the 12 months prior to assuming office, or for a non-incumbent candidate, in the 12 months prior to filing the candidate statement of economic interests. (See the Appendix concerning your ability to receive future honoraria.)

You are not required to report:

- Your salary or your spouse's salary or reimbursement for expenses and per diem from a federal, state or local government agency.
- Campaign contributions.
- A cash devise or inheritance.
- Dividends, interest, or other return on a security (e.g., stocks, bonds) which is registered with the Securities and Exchange Commission.
- Payments received under an insurance policy.
- Interest, dividends, or premiums on a time or demand deposit in a financial institution, shares in a credit union, an insurance policy, or a bond or other debt instrument issued by a government agency.
- Income of dependent children.

- Alimony or child support payments.
- Payments received under a defined benefit pension plan qualified under Internal Revenue Code Section 401(a).

TO COMPLETE SCHEDULE C:

- Disclose the name and address of each source of income or each business entity with which you held a business position.
- Provide a general description of the business activity of the source or business entity (e.g., retail sales, law firm).
- Code filers only: If required under your agency's conflict of interest code, disclose the job title or business position, if any, you held with a business entity.
- Check the box indicating the amount of gross income if you received \$250 or more during the reporting period from the source or business entity.
- Identify the consideration for which the income was received.
- If your income is from commission sales, check the box indicating the gross income received and list the name of each source of commission income of \$10,000 or more (see Appendix). If your income is from rental property (and the property is not required to be listed on Schedule B), enter "Rental Income" under "Name", check the box indicating the gross income received, and, if you had a 10% or greater interest in the rental property, list the name of each tenant if your pro rata share of the gross income from that tenant was \$10,000 or more during the reporting period.

You are not required to disclose on Schedule C income reported on Schedule A-2 or B or business positions reported on Schedule A-2.

Example:

Jack West is a legislator and is an insurance agent for Grand Insurance Company. He has no ownership interest in the business. Jack received income of \$50,000 from Grand Insurance Company. He also received commission income of \$11,000 from a single client. He must report Grand Insurance Company. The Commission box must also be checked and the name of the client identified.

REMINDERS

- ✓ Do you know your agency's jurisdiction?
- ✓ Was the income received during the period covered by this statement?
- ✓ Code filers - Your code may require disclosure of income only from specified sources.

Schedule C

Income & Business Positions

(Income Other Than Loans, Gifts and
Travel Payments)CALIFORNIA
1996/97 FORM
FAR-POLITICAL PRACTICES COMM.

700

Name

NAME JAMES FANG
 ADDRESS 809 Sacramento St
 BUSINESS ACTIVITY, IF ANY, OF SOURCE ASIAN WEEK
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) President

GROSS INCOME RECEIVED
☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☒ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☒ Salary ☐ Spouse's income ☐ Loan repayment
☐ Sale of _____ (Property: car, boat, etc.)
☐ Commission or ☐ Rental income, list each source of \$10,000 or more

☐ Other _____

NAME JAMES FANG
 ADDRESS 649-5th Avenue, S.F.
 BUSINESS ACTIVITY, IF ANY, OF SOURCE _____
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) _____

GROSS INCOME RECEIVED
☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☒ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☐ Salary ☒ Spouse's income ☐ Loan repayment
☐ Sale of _____ (Property: car, boat, etc.)
☐ Commission or ☐ Rental income, list each source of \$10,000 or more

☐ Other _____

NAME JAMES FANG
 ADDRESS 649-5th Avenue S.F.
 BUSINESS ACTIVITY, IF ANY, OF SOURCE _____
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) _____

GROSS INCOME RECEIVED
☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☒ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☐ Salary ☐ Spouse's income ☐ Loan repayment
☐ Sale of _____ (Property: car, boat, etc.)
☐ Commission or ☒ Rental income, list each source of \$10,000 or more

☐ Other _____

NAME JAMES FANG
 ADDRESS Bedford Intl. Holdings
 BUSINESS ACTIVITY, IF ANY, OF SOURCE 1717 S. W. House C. 11 Chater Rd
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) H K
Rel. of Directors

GROSS INCOME RECEIVED
☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☐ Salary ☐ Spouse's income ☐ Loan repayment
☐ Sale of _____ (Property: car, boat, etc.)
☐ Commission or ☐ Rental income, list each source of \$10,000 or more

No Income generated
☐ Other _____

Comments: _____

Schedule C

Income & Business Positions

(Income Other Than Loans, Gifts and
Travel Payments)CALIFORNIA
1996/97 FORM **700**
FAR-POLITICAL PRACTICES COMM.

Name

NAME JAMES FANG
 ADDRESS 804 - Sacramento St. SF
 BUSINESS ACTIVITY, IF ANY, OF SOURCE Secretary, PAN Asia Venture
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) Corp

GROSS INCOME RECEIVED

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's income ☐ Loan repayment

☐ Sale of _____
 (Property, car, boat, etc.)

☐ Commission or ☐ Rental income, net each source of \$10,000 or more

No Income Generated
☐ Other _____

NAME James Fang
 ADDRESS 804 - Sacramento
 BUSINESS ACTIVITY, IF ANY, OF SOURCE BOARD OF Directors
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) Best East International

GROSS INCOME RECEIVED

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's income ☐ Loan repayment

☐ Sale of _____
 (Property, car, boat, etc.)

☐ Commission or ☐ Rental income, net each source of \$10,000 or more

No Income Generated
☐ Other _____

NAME JAMES FANG
 ADDRESS 804 - Sacramento St. SF
 BUSINESS ACTIVITY, IF ANY, OF SOURCE Yick Tson Society
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) Board of Directors

GROSS INCOME RECEIVED

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's income ☐ Loan repayment

☐ Sale of _____
 (Property, car, boat, etc.)

☐ Commission or ☐ Rental income, net each source of \$10,000 or more

No Income Generated
☐ Other _____

NAME _____
 ADDRESS _____
 BUSINESS ACTIVITY, IF ANY, OF SOURCE _____
 BUSINESS POSITION, IF APPLICABLE (Code Filers Only) _____

GROSS INCOME RECEIVED

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's income ☐ Loan repayment

☐ Sale of _____
 (Property, car, boat, etc.)

☐ Commission or ☐ Rental income, net each source of \$10,000 or more

☐ Other _____

Comments: _____

Income – Loans

(Received or Outstanding)

Name

➤ NAME OF LENDER

CWCCN FEDERAL BANKADDRESS
1665 Palm Beach Blvd.BUSINESS ACTIVITY OF LENDER West Palm Beach, FL☒ Financial Institution☐ Other _____

INTEREST RATE

8 % ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☒ Over \$10,000

SECURITY FOR LOAN

☐ None ☐ Automobile ☒ Personal residence☐ Real Property 647-649 5th Avenue

Street address

S.F., CA 94115

City

☐ Guarantor _____☐ Other _____

➤ NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY OF LENDER

☐ Financial Institution☐ Other _____

INTEREST RATE

_____ % ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

SECURITY FOR LOAN

☐ None ☐ Automobile ☐ Personal residence☐ Real Property _____

Street address

City

☐ Guarantor _____☐ Other _____

➤ NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY OF LENDER

☐ Financial Institution☐ Other _____

INTEREST RATE

_____ % ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

SECURITY FOR LOAN

☐ None ☐ Automobile ☐ Personal residence☐ Real Property _____

Street address

City

☐ Guarantor _____☐ Other _____

➤ NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY OF LENDER

☐ Financial Institution☐ Other _____

INTEREST RATE

_____ % ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000

SECURITY FOR LOAN

☐ None ☐ Automobile ☐ Personal residence☐ Real Property _____

Street address

City

☐ Guarantor _____☐ Other _____

Comments: _____

INSTRUCTIONS – SCHEDULE E INCOME - GIFTS

"Gift" means anything of value, for which you have not provided equal or greater value to the donor. A gift is reportable if its fair market value is \$50 or more. In addition, multiple gifts aggregating \$50 or more received during the reporting period from a single source must be reported. Gifts are reportable without regard to where the donor is located.

It is the acceptance of a gift, not the ultimate use to which it is put, that imposes your reporting obligation. Therefore, except as noted below, you must report a gift even if you never used it or if you gave it away to another person.

If the exact amount of a gift is not known, you must make a good faith estimate of the item's fair market value. Listing the value of a gift as "over \$50" or "value unknown" is not adequate disclosure. In addition, if you received a gift through an intermediary, you must disclose the name, address, and business activity of both the donor and the intermediary.

Commonly reportable gifts include:

- Tickets/passes to sporting or entertainment events.
- Tickets/passes to amusement parks.
- Parking passes.
- Food, beverages, and accommodations, including that provided in direct connection with your attendance at a convention, conference, meeting, social event, meal or like gathering, where you did not give a speech, participate in a panel or seminar, or provide a similar service.
- Rebates/discounts not made in the regular course of business to members of the public without regard to official status.
- Wedding gifts (see Appendix to determine how to value).
- An honorarium. You may report an honorarium as income on Schedule D, rather than as a gift, if you provided services of equal or greater value than the payment received. (See the Appendix regarding your ability to receive honoraria in the future.)
- Transportation and lodging (see Schedule F).
- Forgiveness of a loan received by you.

REMINDERS

- ✓ See the Appendix for gift and honoraria prohibitions.
- ✓ Code filers: Income includes any gift. Did you receive a gift from a reportable source?

You are not required to disclose the following:

- Gifts which were not used and which, within 30 days after receipt, were returned to the donor or delivered to a charitable organization without being claimed by you as a charitable contribution for tax purposes.
- Gifts from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, aunt, uncle, niece, nephew, or first cousin or the spouse of any such person, provided that a gift from any such person must be disclosed if the person was acting as an agent or intermediary for a reportable source.
- Gifts of hospitality involving food, drink, or occasional lodging provided in an individual's home when the individual or a member of the individual's family was present.
- Gifts equal in value exchanged between you and an individual, other than a lobbyist, on holidays, birthdays, or similar occasions.
- Gifts of informational material provided to assist you in the performance of your official duties, such as books, pamphlets, reports, calendars, or periodicals.
- A cash devise or inheritance.
- Personalized plaques and trophies with an individual value of less than \$250.
- Campaign contributions.
- Tickets to a fundraising event for a 501(c)(3) organization.
- Tickets to political fundraisers.
- Gifts given directly to members of your immediate family unless you received direct benefit from the gift or you exercised direction and control over the use or disposition of the gift.
- A pass or ticket which provided a one-time admission to an event (theater performance, sporting event) that was not used and is not transferred to another person. Commission Regulation 18946.1 provides a method for determining the value of a ticket or pass that was used or transferred to another person and for determining the value of a pass or tickets which provide repeated admission to facilities or services.
- Food, beverages, and accommodations provided directly in connection with an event at which you gave a speech, participated in a panel or seminar, or provided a similar service.

TO COMPLETE SCHEDULE E:

- Disclose the name and address and business activity, if any, for the source.
- Describe the gift and provide the fair market value of the gift and the date of receipt.